

APPLICATION FOR CERTIFICATION AS AN NFLPA CONTRACT ADVISOR

This form may be completed online or printed and completed offline. To complete online, simply click in each text area and begin typing, or select the checkboxes.

Please be sure to **ANSWER ALL QUESTIONS**.

Whether the form is completed online or offline, you will still need to sign it and have it notarized. Before sending the form, be sure you have completed each requirement on the checklist at the end of the form.

Submit with NFLPA Application for Certification

Name: _____

Correspondence

Address: _____

Phone: _____

Fax: _____

Email: _____

(X) Before mailing each applicant must have:

- _____ Application postmarked between January 1 and January 31;
- _____ Each question answered completely, including phone numbers/addresses;
- _____ Included the \$1,600 application fee payable to **NFL Players Association**;
- _____ Original signature on application;
- _____ Original notary;
- _____ Kept file copy of application;
- _____ Completed and signed release form.

APPLICATION FOR CERTIFICATION AS AN NFLPA CONTRACT ADVISOR

I,

(Full Name)

(Social Security number)

(Business name)

(E-mail address)

(Business address and affiliation, if any)

(Zip Code)

(Telephone)

(Fax)

hereby apply for certification as an NFLPA Contract Advisor pursuant to the NFLPA Regulations Governing Contract Advisors as adopted, effective December 1, 1994. In advance of completing the signing this Application, I have read the NFLPA Regulations Governing Contract Advisors, which were provided to me along with this Application.

In submitting this Application, I agree to comply with and be bound by these Regulations (including but not limited to the maximum fee schedule), which are incorporated herein by reference, and any subsequent amendments thereto.

I understand that making any false or misleading statement of a material nature in answering any question on this Application can result in denial or revocation of certification. I understand that all the information contained in this Application is for the use of the NFLPA and its members, both present and future, in efforts to achieve quality representation for NFL players.

I agree that all of the information contained herein can be maintained and used by the NFLPA in performing its functions and can be provided by the NFLPA to individual NFL players or prospective players. I understand and agree that a precondition to being granted certification is that I swear and affirm that every agreement which I enter into with a player for the performance of a Contract Advisor's services on or after December 1, 1994 (including any modification, extension or renewal of an agreement that was in effect prior to December 1, 1994) shall conform to the Standard Representation Agreement required by the Regulations.

I agree that if granted certification I will save and hold harmless the NFLPA, its officers, employees, and representatives from any liability whatsoever resulting from my acts of commission or omission in providing services to any player in connection with his individual contract negotiations with an NFL Club or in connection with any subsequent enforcement of such individual contract or any other contracts involving any player I represent.

I agree that if I am denied certification or if subsequent to obtaining certification it is revoked or suspended pursuant to the Regulations, the exclusive method for challenging any such action is through the arbitration procedure set forth in the Regulations. In consideration for the opportunity to obtain certification and in consideration of NFLPA's time and expense incurred in the processing of my application for such certification, I further agree that this Application and the certification, if one is issued to me, along with the NFLPA Regulations Governing Contract Advisors shall constitute a contract between the NFLPA and myself.

ALL QUESTIONS MUST BE ANSWERED COMPLETELY AND MUST BE TYPED

If space provided is not sufficient, attach additional information on a separate sheet and clearly identify the item number the additional sheet(s) represent.

1. General

a. Have you ever been known by any other name or surname?

YES NO

If yes, state all names used and when used, including a maiden name or any other married names:

b. Date of birth: Birthplace:

c. Name of spouse:

d. Spouse's employer and address:

e. Does your spouse or any other relative have any business relationship with the National Football League or its Clubs?

YES NO

If yes, specify in detail:

2. Education

a. Law or other graduate school attended:

(full name)

Dates of Attendance:

(month & year)

to

(month & year)

Degree:

Date awarded:

b. Colleges or Universities attended:

(school)

(city & state)

(dates attended)

(degree)

(school) (city & state)

(dates attended) (degree)

(school) (city & state)

(dates attended) (degree)

c. High School attended:

(school) (city & state) (year graduated)

d. If you have not received a degree from an accredited four year college/university, list below the negotiating experience you wish the NFLPA to consider in lieu of a college degree (See Regulations, Section 2(A))

3. Current Occupation/Employment

a. I am currently: (check one)

EMPLOYED BY:

(name of employer)

(address)

(telephone)

(dates of employment)

(nature of employment)

DOING BUSINESS AS:

(name of employer)

(address)

(telephone)

(dates of employment)

(nature of employment)

b. Please list below the names of employers, addresses, telephone numbers, positions held, and dates of all your employment for the past ten (10) years: (use additional pages if necessary)

4. Lawyers and Law Graduates

a. Have you been admitted to the Bar in any jurisdiction:

YES NO

If yes, please list jurisdiction and dates of admissions:

(jurisdiction) (date of admission)

(jurisdiction) (date of admission)

(jurisdiction) (date of admission)

b. Do you have any Application for Bar admission currently pending?

YES NO

If yes, please state where you have applied and the status of that Application:

c. Have you ever been disbarred, suspended, reprimanded, censured, or otherwise disciplined or disqualified as an attorney, as a member of any other profession, or as a holder of any public office?

YES NO

If yes, please describe each such action, the dates of occurrence, and the name and address of the authority imposing the action in question:

d. Are any charges or complaints currently pending against you regarding your conduct as an attorney, as a member of any profession, or as a holder of public office?

YES NO

If yes, please indicate the nature of the charge or complaint and the name and address of the authority considering it:

e. Has your right to practice before any governmental office, bureau, agency, commission, etc., ever been restricted, suspended, withdrawn, denied, or terminated?

YES NO

If yes, please explain fully:

5. All Applicants

(Lawyers and law graduates need not repeat answers given in Section 4 pertaining to your status as a lawyer or law graduate when providing answers in this Section. For example, if a lawyer is also a CPA, answer these questions only as they relate to your status as a CPA.)

a. Are you a member of any business or professional organization which directly relates to your occupation or profession?

YES NO

If yes, please list:

b. Please list any occupational or professional licenses or other similar credentials (i.e., Certified Public Account, Chartered Life Underwriter, Registered Investment Advisor, etc.) you have obtained other than college or graduate school degrees, including dates obtained:

c. Are you registered or have you applied to be registered pursuant to any state statutes regulating athlete agents?

YES NO

If yes, list states and status of registration:

d. Have you ever been denied an occupational or professional license, franchise or other similar credentials for which you applied?

YES NO

If yes, please explain fully:

e. Do you currently have pending any application for an occupational or professional license, franchise or other similar credentials?

YES NO

If yes, please describe and indicate status of each such application:

f. Have you ever been suspended, reprimanded, censured, or otherwise disciplined or disqualified as a member of any profession, or as a holder of any public office?

YES NO

If yes, please describe each such action, the date(s) of occurrence, and the name and address of the authority imposing the action in question:

g. Are any charges or complaints currently pending against you regarding your conduct as a member of any profession, or as a holder of public office?

YES NO

If yes, please indicate the nature of the charge or complaint and the name and address of the authority considering it:

h. Has your right to engage in any profession or occupation ever been restricted, suspended, withdrawn, or terminated?

YES NO

If yes, please explain fully:

6. All Applicants:

a. Have you ever been charged with, indicted for, convicted of, or pled guilty or pled no contest to a criminal charge, other than minor traffic violations (\$100 fine or less)?

YES NO

If yes, please indicate nature of offense, date or conviction, criminal authority involved, and punishment assessed:

b. Have you ever been a defendant in any civil proceedings in which allegations of fraud, misrepresentation, embezzlement, misappropriation of funds, conversion, breach of fiduciary duty, forgery, professional negligence, or legal malpractice were made against you?

YES NO

If yes, please describe fully and indicate results of the civil proceeding(s) in question:

c. Have you ever had legal proceedings brought against you by any player, players association, professional sports club or league (NFL or otherwise) for any reason?

YES NO

If yes, please describe fully and indicate the results of the civil action in question:

d. Have you ever been adjudicated insane or legally incompetent by any court?

YES NO

If yes, please provide details:

e. Were you ever suspended or expelled from any college, university, graduate school, or law school?

YES NO

If yes, please explain fully:

f. Has any surety or any bond on which you were covered been required to pay any money on your behalf?

YES NO

If yes, please describe circumstances:

g. Are there any unsatisfied judgments of continuing effect against you (other than alimony or child support)?

YES NO

If yes, provide full details:

h. Have you ever been declared bankrupt or been an owner or part owner of a business which has declared bankruptcy?

YES NO

If yes, provide full details:

7. References

a. Please list below the names, addresses, and daytime telephone numbers of at least three (3) persons, not related to you, who have known you for at least the last five (5) years and who can attest to your character. (Names of officers, Player Representatives, or staff members of the NFLPA may not be used):

(name) (address) (phone)

(name) (address) (phone)

(name) (address) (phone)

b. Please list below the names, current addresses, and current telephone numbers of at least two entities which can attest to your financial credit. (i.e., credit card companies, lending institutions, etc):

(name) (address) (phone)

(name) (address) (phone)

8. Professional Sports Experience

a. Please list below (or attach a list which includes) the names of every NFL player, including rookies, you are now representing or have represented in the past in individual contract negotiations with NFL Clubs, including the dates of such representation and the NFL Club(s) involved:

b. Apart from professional football, list any other professional sports in which you currently represent or have previously represented any professional athletes, state whether you have been approved or certified as agent in such sport (and the date of approval) and for each such sport specify the number of athletes you currently represent

c. (Optional - applicant may refrain from answering if he/she desires.)
Please list below the names of any other professional athletes, entertainers, or celebrities you are now representing or have represented in the past, indicating the type of representation, the dates of representation, and the employers involved:

9. NFL Management Personnel

List the names of any coaches, general managers or other management officials of any NFL Club you presently represent or have represented in the past regarding employment with their respective Clubs:

10. Related Businesses and Personnel

a. List the name, address and phone number for each firm or organization with which you are currently affiliated where the business of representing professional athletes is customarily conducted

b. For each such firm or organization, state whether it is a sole proprietorship, corporation, partnership, or other entity (specify):

c. If a partnership, list the name of each partner; if a corporation, list the name of each officer and member of the board of directors. Designate those partners, officers or members of the board of directors who customarily perform work for professional athletes:

d. List each person, not named in 10.c. above who: (a) has a significant ownership interest in your firm or organization; (b) has wholly or partially financed your firm or organization (other than financing or credit extended in the ordinary course of business by lending institutions); or (c) directly or indirectly exercises or has the power to exercise a controlling influence over the management of your firm or organization:

e. Describe the ownership interest, the amount of financing, and/or basis of controlling influence for each person listed in 10.d.:

f. Describe fully the nature of the business of each of your firm(s) or organization(s) listed in 10.a. above:

g. With respect to your present business, list each person employed by that business who is engaged in the representation of professional athlete(s) and write a description of his/her area(s) of specialty:

h. List all persons employed by you or any of your businesses, either directly or indirectly, who solicit, recruit or recommend players on your behalf. For each person listed include current addresses, phone numbers, and a brief description of your business relationship with them, including any fee arrangements

11. Business Services

a. What services do you or your firm provide to Players?

(Please check each service provided.)

Contract Negotiation Estate Planning

Tax Planning Financial Planning

Investment Counseling Appearances/Endorsements

Other Services (Explain)

b. Do you manage, invest or in any other manner handle funds for NFL players?

YES NO

If yes, are you bonded?

YES NO

If yes, please provide details as to the amount of the bond, the name and address of the surety or bonding company, etc.:

If yes, are you currently registered under the Investment Advisor's Act? If no, explain why:

c. If you do not provide services in one or more of the areas listed in 11.a., do you assist the player in securing such services?

YES NO

If so, describe what you do in this regard (include name and address of each individual/firm to which you customarily refer players for each such service and state whether or not you receive a fee from those individuals for the referral, and the basis of any fee.):

d. With respect to the areas in which you do not provide services, do you: (a) have an ownership interest in; (b) wholly or partially finance; or (c) directly or indirectly exercise a controlling influence over any firm or organization that does provide such services?

YES NO

If so, list the name and address of each firm or organization, the services it provides, and a detailed explanation of your relationship to and/or involvement with such firm or organization (including financial relationships):

e. Do you have any agreement, understanding or relationship of any kind with any individual, firm or organization pursuant to which such individual, firm or organization solicits or recommends players to use your services?

YES NO If so, explain fully, including the name and address of each such person, firm or organization, and whether or not you provide any compensation or other consideration to such individual, firm or organization:

f. If you provide services in addition to contract negotiation services, please indicate your customary fees for financial planning, investment counseling, estate planning, tax planning, legal advise, and/or appearances/endorsements. (Specify whether fees are based on a percentage of the player's salary negotiated, on his total income, on an hourly fee, or on some other arrangement.) Specify your customary fees in each such area, and indicate the relationship, if any, of such fees to the fees you charge for player contract negotiations and related services:

g. Do you bill the player for your expenses in connection with the services referred to in number 11.f. above?

YES NO

If so, on what basis do you bill (e.g. itemize out-of-pocket, daily rate or other basis):

h. Do you allocate any expenses among various player clients?

YES NO

If so, describe method of allocation:

ACKNOWLEDGEMENT

CITY OF:
STATE OF:

I, _____, being first duly sworn, say that I have read the foregoing questions and have personally answered the same fully and honestly and the answers to said questions are true to my knowledge. Further, I agree to be bound by these Regulations in their entirety

Signature of Applicant

Subscribed and sworn to
before me this _____ day of _____, 20__
Notary Public



AUTHORITY AND CONSENT TO RELEASE INFORMATION
INCLUDING CONSUMER REPORTS AND CONSUMER
INVESTIGATIVE REPORTS
UNDER THE FEDERAL FAIR CREDIT REPORTING ACT

(1) SCREENING QUESTIONNAIRE FOR IDENTIFICATION
PURPOSES:

Name: _____
(Last) (First) (Middle)

Address: _____
(Street) (City) (State) (Zip Code)

Social Security #: _____ **Date of Birth:** _____

Driver's License #: _____ **State:** _____

(2) AUTHORIZATION AND GENERAL RELEASE:

I hereby authorize the **NFL PLAYERS ASSOCIATION** and all of its agents to request and receive any information and records concerning me, including, but not limited to, consumer credit, criminal record history, driving, employment, military, civil, regulatory, educational data, and reports, from any individuals, corporations, partnerships, associations, institutions, schools, governmental agencies, and departments, courts, law enforcement, and licensing agencies, consumer reporting agencies, and other entities, including my present and previous employers.

I further release and discharge the **NFL PLAYERS ASSOCIATION**, all of its agents and all of its subsidiaries and affiliates, and every employee or agent of any of them, and all individuals and personal, business, private, or public entities of any kind, from any and all claims and liability arising out of any request(s) for, or receipt of, information or records pursuant to this authorization, or arising out of any compliance, or attempted compliance, with such request(s). I also authorize the procurement of an investigative consumer report and understand that it may involve personal interviews with sources such as friends, neighbors, and associates, and may contain information about my character, general reputation, personal characteristics, and mode of living, whichever are applicable. I understand that I have the right to make a written request within a reasonable period of time for a complete and accurate disclosure of additional information concerning the nature and scope of the investigation. I acknowledge that I have voluntarily provided the above information for qualification as an NFLPA Contract Advisor, and I have carefully read and I understand this authorization.

I have been given a stand-alone, consumer notification that a report will be requested and used for the purpose of evaluating me for qualification as an NFLPA Contract Advisor.

The following is my true and complete legal name, and all the above information is true and correct to the best of my knowledge.

SIGNED: _____ **DATE:** _____

Internal use only

ID#

C#

Certification Type: Prov ----- Full Hold Denied

Comments:

Reviewer: _____ Date: _____